ACHIEVING FULL-DIVERSITY AND FAST MAXIMUM LIKELIHOOD DECODING IN ASYNCHRONOUS ANALOG NETWORK CODING

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ABSTRACT
This study designs space-time codes in analog network coding for asynchronous two-way relay networks, where asynchronous transmissions can cause diversity loss. We propose a novel code, called zero-padded interleave reversal Alamouti code (ZP-IR AC) to achieve full diversity with fast maximum likelihood decoding. Specifically, to combat symbol misalignment caused by asynchronous transmissions, the two terminals insert zero padding when transmitting to relays. Thereafter, the second relay performs an interleave reversal procedure to retain full diversity at each terminal. A salient feature of ZP-IR AC is that it can be decoupled into several independent parts that facilitate fast maximum likelihood decoding. Simulations of ZP-IR AC show full diversity gain. The bit error rate performance of ZP-IR AC is comparable to that of the synchronized Alamouti code and outperforms those of some recent schemes.

Index Terms— Analog network coding, asynchronous, space-time codes, full diversity, maximum likelihood decoding.

1. INTRODUCTION
Analog network coding (ANC) [1] is an efficient strategy to double the bandwidth efficiency of two-way relay networks, where two terminals simultaneously exchange information with the assist of relays. A typical ANC scheme includes two phases. In Phase I, the two terminals send signals to the relays simultaneously. The relays process the received superimposed signals and broadcast them in Phase II. Each terminal subtracts the self-interference signal and obtains the signal from the other terminal. The benefits of multiple relays was further investigated in [2–5]. By establishing cooperation among relays, space-time codes (STC) are used to achieve diversity gain in this network.

Asynchronous transmission, an issue that arises from relay networks, has attracted much attention [6–10]. Given the distributed nature of relay networks, the path delays from different relays to terminals are generally different. The lack of perfect synchronization causes symbol misalignment, which can cause severe performance degradation [8, 9]. Symbol misalignment in two-way relay networks comes in two types. The first type occurs in Phase I when simultaneous but asynchronous transmissions from terminals to each relay lead to symbol misalignment at the relays. Note that this type only occurs in two-way relay networks and never in one-way relay networks. The second type occurs in Phase II when asynchronous transmissions from relays to each terminal lead to symbol misalignment at the terminals, thus resulting in diversity loss in STCs [7, 9]. Intensive studies on asynchronous STC [9, 11–21] have been proposed to tackle the second type. However, the existence of the first type has rendered these studies on one-way relay networks not applicable to two-way relay networks. Therefore, several schemes have reconsidered the asynchronous issue in two-way relay networks [22–24].

These schemes can be categorized as either frequency domain approaches or time domain approaches. For frequency domain approaches, the authors in [22, 23] considered frequency selective fading channel and adopted orthogonal frequency division multiplexing (OFDM), where cyclic prefix (CP) was applied to combat symbol misalignment. Thus, such approaches may not be applicable for non-OFDM systems. Besides, OFDM also has its own drawbacks like code rate loss due to CP or carrier frequency offsets (CFO).

This study is related to prior works in time domain approaches. With the goal of avoiding such drawbacks of OFDM, the authors in [24] proposed a time domain approach to achieve full diversity. By using shift-full-rank matrix [12, 25], the approach in [24] successfully achieves full diversity. Unfortunately, the maximum likelihood (ML) decoding of this time domain approach is prohibitively complex. The authors resorted to linear decoders for simplicity at the expense of degraded error-rate performance.

This study proposes a zero-padded interleave reversal Alamouti code (ZP-IR AC) in a two-way relay network with two single-antenna relays. In Phase I, terminals transmit with zero padding to combat symbol misalignment at the relay. Then the second relay performs an interleave reversal procedure, and both relays broadcast the signals back to terminals at Phase II. We prove that full diversity gain is achieved in this scheme. Moreover, ZP-IR AC is amenable to fast ML decoding since its code structure can be decoupled. Simulation results show the full diversity gain in ZP-IR AC.

Notation: Vector \( x[i : j] \) represents a sub-vector in \( x \) from index \( i \) to index \( j \). Note that we allow \( i < j \). If \( i < j \), \( x[i : j] = [x[i], x[i+1], ..., x[j]] \); if \( i > j \), \( x[i : j] = [x[i], x[i-1], ..., x[j]] \). \( x^\prime \) represents the conjugate signal of \( x \).

2. PROBLEM FORMULATION
2.1. System Model
We consider a two-way relay network with two single-antenna relays assisting the information exchange between two single-antenna terminals \( A \) and \( B \) as shown in Fig. 1. Each node works in half-duplex mode. In Phase I, the channel fading coefficient from \( J \) to \( R_i \) is \( h_{i,J} \), and in Phase II, the channel fading coefficient from \( R_i \) to \( J \) is \( h_{i,J} \), \( i = 1, 2, J = A, B \). In Phase I, \( h_{i,J} \) is only known at \( R_i \). In Phase II, \( h_{i,J} \) is known at terminal \( J \), and all channel fading coefficients in Phase I are also known at terminal \( J \). The path delay between \( R_i \)
According to [26], the adopted power allocation is all power, namely the sum of all transmit power, is to be an integer multiple of the symbol duration. The fractional path delay coefficients is denoted by $\tau_i$. terminals knows all delay coefficients. The delay difference bound of bounded delay-tolerant time interleave reversal Alamouti codes loss in Phase II. To elucidate this problem, we provide an example misalignment at relays. This condition may further cause diversity

In ANC, the asynchronous transmissions in Phase I lead to symbol misalignment in $y_{R_2}$. Due to symbol misalignment, $R_2$ reconstructs $y_{R_2}$ as

$$y_{n_2} = \frac{4}{\tau_1, A, \tau_2, B, \tau_2, B, \tau_2, B} \left[ b_0 - \hat{b}_1 \hat{b}_1 \hat{b}_3 - b^* \right] + \tilde{n}_2.$$  \tag{4}$$

In Phase II, the transmitted signals from $R_1$ and $R_2$ are $\alpha_1 \hat{y}_{R_1}$ and $\alpha_2 \hat{y}_{R_2}$, respectively.

After each terminal cancels the self-interference, the received signal vector becomes

$$y_B = \frac{4}{\alpha_1 \hat{h}_{2, A}, \alpha_2 \hat{h}_{2, B}} \left[ b_0 - \hat{b}_1 \hat{b}_1 \hat{b}_3 - b^* \right] + \tilde{n}_B.$$  \tag{5}$$

$$y_A = \frac{4}{\alpha_1 \hat{h}_{2, A}, \alpha_2 \hat{h}_{2, B}} \left[ b_0 - \hat{b}_1 \hat{b}_1 \hat{b}_3 - b^* \right] + \tilde{n}_A.$$  \tag{6}$$

The last four columns of $X_n$ has the same structure as $C$, thereby guaranteeing full diversity on $a$. However, $X_n$ no longer follows $C$. The difference matrix of $X_n$ is

$$\Delta X_B = \begin{bmatrix} \Delta b_1 & \Delta b_2 & \Delta b_3 & \Delta b_4 \\ \Delta b_1 & \Delta b_2 & \Delta b_3 & \Delta b_4 \\ 0 & 0 & 0 & 0 \\ \Delta b_2 & \Delta b_2 & \Delta b_3 & \Delta b_3 \end{bmatrix}.$$  \tag{7}$$

When $\Delta b_1 \neq 0$ and $\Delta b_2 = \Delta b_3 = \Delta b_4 = 0$, $\Delta X_A$ is only of rank one, which indicates diversity loss.

We summarize the reasons of diversity loss as follows: 1) BDT-TIR AC requires clear separation of those four symbols into four parts; 2) Due to the asynchronous transmission in Phase I, the received signals at $R_2$ do not have such separation, rendering unqualified structure in $X_{R_2}$, which results in diversity loss.

### 3. NEW CODE DESIGN

This section presents ZP-IR AC to overcome the diversity loss due to symbol misalignment. The basic idea is to add zero padding to accommodate the symbol misalignment and maintain clear separation to fulfill the full-diversity code structure.

#### 3.1. Code Structure

Terminal $A$ transmits a sequence $a$ of 4l symbols, and terminal $B$ transmits a sequence $b$ of 4l symbols, where $l \geq 2 \tau_{\text{min}}$. For example, when $\tau_{\text{min}} = 1$, $4l \geq 8$, meaning that each sequence should contain at least 8 symbols. Later we will show that our code structure favors large $l$ because of high code rate.
### In Phase I, the code structure of $\mathbf{y}_R$ and $\mathbf{y}_R$ each with 7 segment, the overlap segments represent the sum signals.

#### By using zero padding, $x_1$ and $x_2$ keep the structure of $C$ in (2) on both $a$ and $b$.

### In Phase I, $R_1$ transmits $\mathbf{y}_{R_1}$ and $R_2$ transmits $\mathbf{y}_{R_2}$. At terminal $A$, after canceling the self-interference on $a$, the received vector is

$$y_A = h_{A}^{(a)} \mathbf{1}_{[1:3]}^T, R_{A} = 0 \mathbf{1}_{[1:3]}^{(a)} + \mathbf{1}_{[2:4]}^T,$$

where $\mathbf{1}_{[1:3]}^T$ is the all-one vector of length 3.

### Algorithm 2: Suppose $\tau_m = 1, \mathbf{d} = 8$, that is, $l = 2, P = 4$, and $\mathbf{1}_{[1:3]}^T, \mathbf{1}_{[2:4]}^T, \mathbf{1}_{[3:4]}^T = \mathbf{1}_{[1, 1, 1]}$, $\tau_1 = \mathbf{1}_{[1, 1, 1]}$, $\tau_2 = \mathbf{1}_{[1, 1, 1]}$, $\tau_3 = \mathbf{1}_{[1, 1, 1]}$. For simplicity, let $\mathbf{1}_{[1, 1, 1]} = 1$.

### According to (5), the received signal vectors at the relays are

$$y_{R_1} = \mathbf{1}_{[1:3]}^T h_{A}^{(a)} \mathbf{1}_{[1:3]}^T + \mathbf{1}_{[2:4]}^T + \mathbf{1}_{[3:4]}^T + \mathbf{1}_{[4:5]}^T = \mathbf{n}_{R_1}.$$

### Next, we use Example 2 to elucidate the code structure.

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mits a sequence on both $a$ with $\tau_m$. From (9), we can observe the structure of BDT-TIR AC, which is discussed in [21]. Because of the decoupling nature, ZP-IR AC can be decoded with a fast ML decoder.

\[ y_{R_2} = \left[ -\hat{y}_{R_2,2}^{[3 : 2]}, -\hat{y}_{R_2,2}^{[3]}, -\hat{y}_{R_2,3}^{[3]}, -\hat{y}_{R_2,3}^{[2 : 1]} \right] + \hat{y}_{R_2,2}^{[2 : 1]} \]

In Phase II, $R_1$ transmits $\hat{y}_{R_1}$ and $R_2$ transmits $\hat{y}_{R_2}$, simultaneously. At terminal $A$, $y_A$ in (9) becomes

\[ y_A = [\hat{h}_{1,2}, \hat{h}_{2,1}] \times [b^0, b^1, b^2, b^3, b^4, b^5, b^6, b^7] \]

From (11), we can detect the Alamouti code matrices on $[b^2, b^6]$ and $[b^4, b^8]$, and a 4-symbol matrix with $[b^1, b^3, b^5, b^7]$, which is discussed in [21]. Because of the decoupling nature, ZP-IR AC can be decoded with a fast ML decoder.

### 3.2. Full Diversity Proof

**Theorem 1.** Consider a system with two single-antenna relays, where the path delay difference is bounded by $\tau_m$. Terminal $A$ transmits a sequence $a$ with $4l$ symbols ($l \geq 2\tau_m$), and terminal $B$ transmits a sequence $b$ with $4l$ symbols. ZP-IR AC achieves full diversity on both $a$ and $b$.

**Proof:** From $y_A$ in (9), we can observe the structure of BDT-TIR AC. For BDT-TIR AC, full diversity is achieved provided that the path delay difference is smaller than $l$ [21].

The delay difference between the two paths is

\[ \max\{\tau_{1,B}, \tau_{1,A}\} - \max\{2\tau_{2,A} - \tau_{2,B}, \tau_{2,A}\} \leq 2\tau_m \leq l. \]

The same analysis can be applied to the code structure of $a$ at $B$. Therefore, full diversity is guaranteed.

### 3.3. Code Rate Analysis

The worst-case code rate $R$ of ZP-IR AC is

\[ R = \frac{4l + 4l}{8l + 6\tau_m + 2\min\{\tau_{1,A}, \tau_{1,B}, \tau_{2,A}, \tau_{2,B}\}}. \]  

When $l$ is sufficiently large, $R$ approaches 1 symbol/channel.

### 4. SIMULATIONS

In this section, we present our simulation results on the performance of ZP-IR AC with the ML decoder. The channel fading coefficients follow an i.i.d. complex Gaussian distribution with zero-mean and unit variance. The maximum delay difference $\tau_m = 1$. Quadrature Phase Shift Keying (QPSK) is adopted in all the simulations.

Fig. 4 shows the bit error rate (BER) performance of ZP-IR AC with respect to the sum of all transmit power $P$, where the transmit power at each terminal is $P/4$. The performance of ZP-IR AC in delay profile $[0, 0, 0, 0]$ can be regarded as that of the Alamouti code [27] in the synchronized case. As can be seen, the performances of ZP-IR AC in the asynchronous cases are similar to that in the synchronized case. The diversity loss performance in Example 1 is also plotted. The difference in slope in the high overall power region represents the diversity gain difference.

Fig. 5 compares the BER performances of the synchronized Alamouti code [27], ZP-IR AC and ANC schemes [24] (TO-DLC ANC and DLC ANC).

### 3.4. Fast ML Decoding

As Example 2 shows, the received signals $y_A$ can be decoupled into several independent parts to facilitate the ML decoding process. The complexity of the ML decoder at each terminal is $O(4(l - 2\tau_m) + 2\tau_m |S|^4)$, where $|S|$ is the cardinality of the constellation $S$.

5. CONCLUSION

This paper has proposed ZP-IR AC to achieve full diversity in an asynchronous two-way relay network. Through zero padding, ZP-IR AC achieves full diversity with fast ML decoding. Simulations showed the full diversity gain. Our work suggests that zero padding is an effective method to tackle symbol misalignment at the relays in two-way relay networks.
6. REFERENCES


